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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/895,891	06/29/2001	Michael Joseph Calderaro	AUS9-2001-0237-US1	7073

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EXAMINER

LU, KUEN S

ART UNIT	PAPER NUMBER
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2177

DATE MAILED: 12/19/2003

3

Please find below and/or attached an Office communication concerning this application or proceeding.

2

Office Action Summary

Application No.

09/895,891

Applicant(s)

CALDERARO ET AL.

Examiner

Kuen S Lu

Art Unit

2177

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 29 June 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. §§ 119 and 120

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.
- 13) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.
a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 3. 6) ☐ Other: _____

DETAILED ACTION

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1-20 are rejected under 35 U.S.C. 102(b) as anticipated by Cafolla et al.

(Oracle Human Resources, North American User's Guide, Release 11, Volume 1, March 1998, hereafter "Cafolla");

As per independent claims 1, 8 and 14, Cafolla teaches the following:

"selecting one or more employees to exclude from a first view, the first view including employee profile information corresponding to a plurality of employees" at Page 13-2, lines 8-10 by using people folder as the first view to find people of interest and then using inquiry window as the second view to show specific information about person(s) (Page 13-2, lines 11-12);

"excluding the employee profile information corresponding to the selected information from the first view, the exclusion resulting in a second view" at Page 13-3, "Note:", by customizing People Folder window to include "Display check box" as one displaying column (Page 8-8, lines 8-10) for the first view, and then drill down of the view and check the box(es) for person(s) to be excluded; and "granting view access to the second view to one or more of the selected employees" at Page 34-15, Paragraph "ROLEGEN" by creating and executing SQL command scripts for

creating role and then granting or revoking permissions for viewing line items to or from selected employee(s).

As per claims 2, 9 and 15, Cafolla teaches "displaying the employee profile information in the second view on one or more display devices" and "wherein at least one of the display devices corresponds to one of the selected employees" at Page 13-2, lines 8-10 by using people folder as the first view to find people of interest and then using inquiry window as the second view to show specific information about a person (Page 13-2, lines 11-12) who him/herself is running the HRMS application;

As per claims 3, 10 and 16, Cafolla teaches "simultaneously displaying employee profile information pertaining to the second view to a plurality of collaborating employees, wherein the collaborating employees include at least one of the selected employees" at Page 14-36 by showing the assignment history window, as the first view, for all of an employee's assignments while the assignment folder window being utilized as the first view (Page 30-3, lines 14-16);

"receiving an employee profile change request to revise the employee profile data corresponding to one of the employees, the received request being from one of the collaborating employees" at Page 14-4 (last paragraph) and Page 14-9;

"modifying the employee profile data according to the request" at Page 14-4 (last paragraph) ; and

"displaying the modified employee profile data to the plurality of collaborating employees" at at Page 14-36 by showing the assignment history window, as the first view, for all of an

employee's assignments while the assignment folder window being utilized as the first view (Page 30-3, lines 14-16).

As per Claims 4, 11 and 17, Cafolla teaches "the first view corresponds to a manager and wherein each of the excluded employee profiles corresponds to an employee that reports to the manager" at Pages A-31 by navigating the "Organization" menu for reaching an organization in the hierarchy, and Page 2-38, Paragraph "To create a new version of an existing hierarchy" by having subordinates block modified for including the employees with profiles excluded corresponding to the employee reports to the organization manager.

As per Claims 5, 12 and 18, Cafolla teaches "storing second view data corresponding to the second view on a nonvolatile storage area, the second view data including a grant list of employees that were granted access to the second view and an exclusion list of employee profiles that were excluded from the second view" at Page 34-2 by controlling user's access through records and organization information types and, then storing the information by using Oracle Application Data Export to export and save the data in the view to storage (Page 30-2).

As per claims 6, 13 and 19, Cafolla teaches "receiving a view request from a requesting employee" at Page 14-28 by listing people by assignment;
"retrieving the second view data" at Page 14-36 by listing an assignment history of person(s) selected from the first view;
"comparing the requesting employee with the grant list of employees" at Page 34-2 by controlling user's access through records and organization information types; and

"determining whether to allow the requesting employee access to the second view in response to the comparison" Page 14-29 by restricting a further review of the list.

As per claims 7 and 20, Cafolla teaches "displaying a list of reporting employees to a manager" at Page 14-29 by displaying the assignment list; and
"wherein the selecting includes receiving one or more exclusion selections from the manager in response to the displayed list" at Page 14-29, lines 11-12 (excluding counting lines in the table) by further restricting the list of the employees to be displayed.

Conclusions

The prior art made of record

U. Oracle Human Resources, North American User's
Guide, Release 11, Volume 1, March 1998

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

A. U.S. Pub. No.	2002/0184148
B. U.S. Patent	6460036
C. U.S. Pub. No.	2002/0182178
D. U.S. Pub. No.	2003/0216957A1
E. U.S. Pub. No.	2003/0154098


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kuen S Lu whose telephone number is 703-305-4894. The examiner can normally be reached on 8 AM to 5 PM, Monday through Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Breene can be reached on 703-305-9790. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.

KL

Patent Examiner

December 10, 2003


JOHN BREENE
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100